



Sheet 1 of 2

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT			ATTY. DOCKET NO. SHP-PT059	SERIAL NO. 09/555,555
			APPLICANT Braud et al.	
			FILING DATE September 25, 2000	GROUP 1644
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
PV	AA	Aramburu et al., "A Novel Functional Cell Surface Dimer (Kp43) Expressed By Natural Killer Cells And T Cell Receptor - γ / δ Lymphocytes," The Journal of Immunology, Vol. 144, No. 8, pps. 3238-3247 (1990)		
	AB	Houchins et al., "DNA Sequence Analysis of NKG2, a Family of Related cDNA Clones Encoding Type II Integral Membrane Proteins on Human Natural Killer Cells," J. Exp. Med., Vol 173, pps. 1017-1020 (April 1991)		
	AC	Ulbrecht et al., "The HLA-E Gene Encodes Two Differently Regulated Transcripts And A Cell Surface Protein," The Journal Of Immunology, Vol. 149, No. 9, pps. 2945-2953 (1992)		
	AD	Ulbrecht et. al., "Impaired Intracellular Transport and Cell Surface Expression of Nonpolymorphic HLA-E: Evidence for Inefficient Peptide Building," J Exp Med, Vol. 176, pps. 1083-1090 (1992)		
	AE	Shawar et al., "Antigen Presentation By Major Histocompatibility Complex Class I-B Molecules," Annual Review of Immunology, Vol. 12, pps. 839-880 (1994)		
	AF	Pérez-Villar, et al, "Functional Ambivalence of the Kp43 (CD 94) NK Cell-Associated Surface Antigen," The Journal of Immunology, Vol. 154, pps. 5779-5788 (1995)		
	AG	Phillips et al., "CD94 and a Novel Associated Protein (94AP) Form a NK Cell Receptor Involved in the Recognition of HLA-A, HLA-B, and HLA-C Allotypes," Immunity, Vol. 5, pps. 163-172 (1996)		
	AH	Lazetic et al., "Human Natural Killer Cell Receptors Involved in MHC Class I Recognition Are Disulfide-Linked Heterodimers of CD94 and NKG2 Subunits," The Journal of Immunology, Vol. 157, pps.4741-4745 (1996)		
	AI	Sivori et al., "CD94 functions as a natural killer cell inhibitory receptor for different HLA class I alleles: identification of the inhibitory form of CD94 by the use of novel monoclonal antibodies," Eur. J. Immunol., Vol. 26, pps. 2487-2492 (1996)		
▼	AJ	Sivori et al., "Inhibitory CD94 Molecules Identified by the Z199 Monoclonal Antibody Recognize Different HLA-Class I Molecules," Transplantation Proceedings, Vol. 28, No. 6, pps. 3199-3203 (1996)		

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Sheet 2 of 2

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
PV	AK	Carretero et al., "The CD94 and NKG-2-A C-type lectins covalently assemble to form a natural killer cell inhibitory receptor for HLA class I molecules," Eur. Journal of Immunology, Vol. 27, pps. 563-567 (1997)	
	AL	Houchins et al., "Natural Killer Cell Cytolytic Activity Is Inhibited by NKG2-A and Activated by NKG2-C," The Journal of Immunology, Vol. 158, pps. 3603-3609 (1997)	
	AM	Braud et al., "The human major histocompatibility complex class Ib molecule HLA-E binds signal sequence-derived peptides with primary anchor residues at position 2 and 9," Eur. J. Immunol., Vol. 27, pps. 1164-1169 (1997)	
	AN	Plougastel et al., "Cloning of NKG2-F, a new member of the NKG2 family of human natural killer cell receptor genes," Eur J. Immunol., Vol. 27, pps. 2835-2839 (1997)	
	AO	Lanier et al., "Arousal and inhibition of human NK cells," Immunological Reviews, Vo. 155, pps. 145-154 (1997)	
	AP	Braud et al., "TAP- and tapasin-dependent HLA-E surface expression correlates with the binding of an MHC class I leader peptide," Current Biology, Vol. 8, No. 1, pps. 1-10 (1998)	
	AQ	Braud et al., "HLA-E binds to natural killer cell receptors CD 94/NKG2A, B and C," Nature, Vol. 391, pps. 795-799 (1998)	
	AR	Lee et al., "HLA-E Surface Expression Depends on Binding of TAP-Dependent Peptides Derived from Certain HLA Class I Signal Sequences," The Journal of Immunology, Vol. 160, pps. 4951-4960 (1998)	
↓	AS	Lanier et al., "Immunoreceptor DAP12 bearing a tyrosine-based activation motif is involved in activating NK cells," Nature, Vol 391, pps. 703-707 (1998)	

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